Bulk oxygen in \( \alpha \)-silicon nitride and its effect on IR absorption

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There have been several studies of the oxygen content in \( \alpha \)-silicon nitride. It was, in fact, thought to be an oxynitride and compositions such as \( \text{Si}_{15.5}\text{N}_{14.5}\text{O}_{0.5} \) and \( \text{Si}_{30}\text{N}_{100}\text{O}_{2} \) were proposed for it [1, 2]. However, later studies [3, 4] have shown that oxygen is not required to stabilize the structure of \( \alpha \)-silicon nitride. Studies [5, 6] of the sintering of silicon nitride have shown the importance of the surface oxide layer which is known to be present in all silicon nitride powders. During sintering, this surface oxide reacts with sintering additives forming liquid phases in which the \( \alpha \)-silicon nitride dissolves and reprecipitates as \( \beta \)-silicon nitride. Thus the nature and amount of sintering aids needed depends upon the extent of oxygen present on the surface. In this connection there has been a detailed study [7] of the oxygen distribution both in the bulk and on the surface in several silicon nitride samples. It was observed that silicon nitride prepared by the decomposition of silicon imide had the lowest oxygen content and most of this oxygen was on the surface. On the other hand, silicon nitride prepared by the carbothermal reduction of silica had the largest (~3% by weight) percentage of oxygen and a large portion of it was in the bulk. This was thought to be due to the incomplete nitridation of silica. There have also been several studies [8, 9] on the determination of the crystal structure of \( \alpha \)-silicon nitride. Because of the very similar atomic scattering factors for nitrogen and oxygen, X-ray diffraction studies have not been able to directly prove the presence of oxygen in \( \alpha \)-silicon nitride. Its presence has only been indirectly estimated by adjusting a partial occupancy for oxygen in place of nitrogen during structure refinement. Thus the existence of bulk oxygen in the lattice of \( \alpha \)-silicon nitride is still not conclusively proven.

In our laboratory, silicon nitride, primarily \( \alpha \)-phase, was prepared by different methods and either powder or whiskers obtained, depending upon the method of preparation. On characterizing the samples it was observed that they had almost identical XRD patterns, whereas their IR absorption spectra showed significant differences. This prompted an investigation and the results of this investigation are reported here.

Four different samples of silicon nitride were prepared by different procedures: (a) ammonolysis of silicon powder [10]; (b) nitridation of an equimolar mixture of silicon and silica; (c) carbothermal reduction of silica; and (d) nitridation of silicon whiskers [11]. Methods (a) and (b) yielded whiskers whereas method (c) resulted in powder. Method (d) gave whisker-like pseudomorphs. The XRD patterns of these four samples are shown in Fig. 1. It can be seen that the samples contain mostly \( \alpha \)-phase, the \( \beta \)-phase content is very small. It may be noted that the patterns are almost identical except for small changes in the relative intensities of the lines. The changes in the lattice parameters of the samples are also quite small. IR absorption spectra of the same samples are
shown in Fig. 2. It can be seen that the spectra of the samples prepared by methods (a) and (b), surprisingly, do not have the characteristic absorption around 950 cm⁻¹ which corresponds to Si-N-Si antisymmetric stretching mode [12]. Sample (d) has the highest absorption at this wave number. It may be noted that samples obtained in whisker form have the least absorption in this region. Also, the other absorption peaks in the region from 800-950 cm⁻¹ are much more pronounced in samples (a) and (b). This observation raised some doubt concerning the extent of nitrogen content in the samples. Therefore, the nitrogen content in the samples was estimated by Kjeldahl's method: sample digestion was maintained for a period of 6 h and selenium was used as a catalyst to facilitate complete dissolution. The following values for the nitrogen content were obtained: (a) 36.3%; (b) 37.2%; (c) 38.7%; and (d) 39.2%. Thus samples (a) and (b), which show low IR absorption at 950 cm⁻¹, have considerably lower nitrogen content than the theoretical value of 39.93%. Since all the samples were prepared from pure starting materials, it can be surmised that these samples may contain correspondingly more oxygen. If this oxygen is due to the presence of silica, the IR absorption spectra should have shown the characteristic peaks of silica. The XRD patterns also should have shown either the lines of crystalline silica or the diffuse halos of amorphous silica. Moreover, IR absorption spectra of a mixture of silicon nitride and silica cannot account for lower absorption specifically at 950 cm⁻¹. Therefore, the oxygen present must be in the bulk. To confirm this, a few grams of the silicon nitride samples (in the form of whiskers) were mixed with excess of carbon and heated at 1450°C for 5 h while passing ammonia. The excess carbon was removed later by heating in air at 800°C. The IR absorption spectra of these samples showed the characteristic absorption at 950 cm⁻¹ similar to that of samples (d). This clearly shows that oxygen was present in the silicon nitride samples and it was, indeed, in the bulk.

The structures of silica and silicon nitride essentially consist of SiO₄ and SiN₄ tetrahedra which are linked through the corners. It appears that during the growth of α-silicon nitride from the SiO vapor, a small fraction of SiO is incorporated without nitridation in the lattice of α-silicon nitride. The consequent imbalance in the charge may be compensated by silicon vacancies. It may therefore be concluded that although it is not necessary that α-silicon nitride should have bulk oxygen to stabilize its structure, it can accommodate a considerable amount of bulk oxygen without becoming oxynitride structurally.

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References

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